

Program-based Testing: A Proof-of-Technology

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Abstract

In this paper, we present the underlying methods for white box testing in interactive unit test scenarios. HOL-TestGen can also be understood as a unifying technical and conceptual framework for presenting and investigating the variety of unit test techniques in a logically consistent way.

Keywords: symbolic test case generations, black box testing, white box testing, theorem proving, interactive testing

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1 Introduction to White Box Tests

Our framework is not restricted to black box test of side-effect free programs. Using a *logical embedding* (a representation in HOL comprising syntax and semantics) for an imperative language, it can be used to implement and analyze various white-box test techniques.

MORE TO COME (COMMENTED OUT IN LATEX)

2 Appendix

3 Syntax of Commands

theory *Com* **imports** *Main* **begin**

typedecl *loc*

— an unspecified (arbitrary) type of locations (adresses/names) for variables

types

val = *nat* — or anything else, *nat* used in examples

state = *loc* \Rightarrow *val*

aexp = *state* \Rightarrow *val*

bexp = *state* \Rightarrow *bool*

— arithmetic and boolean expressions are not modelled explicitly here,

— they are just functions on states

datatype

com = *SKIP*

| *Assign loc aexp* (*- ::= - 60*)

| *Semi com com* (*;- [60, 60] 10*)

| *Cond bexp com com* (*IF - THEN - ELSE - 60*)

| *While bexp com* (*WHILE - DO - 60*)

notation (*latex*)

SKIP (*SKIP*) **and**

Cond (*IF - THEN - ELSE - 60*) **and**

While (*WHILE - DO - 60*)

end

4 Natural Semantics of Commands

theory *Natural* imports *Com* begin

4.1 Execution of commands

We write $\langle c, s \rangle \longrightarrow_c s'$ for *Statement* c , *started in state* s , *terminates in state* s' . Formally, $\langle c, s \rangle \longrightarrow_c s'$ is just another form of saying *the tuple* (c, s, s') *is part of the relation* *evalc*:

definition

update :: $('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \Rightarrow ('a \Rightarrow 'b) \ (-/[- ::= / -] \ [900, 0, 0] \ 900)$ **where**
update = *fun-upd*

notation (*xsymbols*)

update $(-/[- \mapsto / -] \ [900, 0, 0] \ 900)$

Disable conflicting syntax from HOL Map theory.

no-syntax

-maplet :: $('a, 'a) \Rightarrow \text{maplet} \quad (-/[-> / -])$
-maplets :: $('a, 'a) \Rightarrow \text{maplet} \quad (-/[[->] / -])$
 :: $\text{maplet} \Rightarrow \text{maplets} \quad (-)$
-Maplets :: $[\text{maplet}, \text{maplets}] \Rightarrow \text{maplets} \ (-, / -)$
-MapUpd :: $('a \rightsquigarrow \Rightarrow 'b, \text{maplets}) \Rightarrow 'a \rightsquigarrow \Rightarrow 'b \ (-/'(-) \ [900, 0] \ 900)$
-Map :: $\text{maplets} \Rightarrow 'a \rightsquigarrow \Rightarrow 'b \quad ((1[-]))$

The big-step execution relation *evalc* is defined inductively:

inductive

evalc :: $[com, state, state] \Rightarrow \text{bool} \ (\langle -, \rangle / \longrightarrow_c - \ [0, 0, 60] \ 60)$

where

Skip: $\langle \text{SKIP}, s \rangle \longrightarrow_c s$
Assign: $\langle x ::= a, s \rangle \longrightarrow_c s[x \mapsto a \ s]$

Semi: $\langle c0, s \rangle \longrightarrow_c s'' \Longrightarrow \langle c1, s'' \rangle \longrightarrow_c s' \Longrightarrow \langle c0; c1, s \rangle \longrightarrow_c s'$

IfTrue: $b \ s \Longrightarrow \langle c0, s \rangle \longrightarrow_c s' \Longrightarrow \langle \text{IF } b \ \text{THEN } c0 \ \text{ELSE } c1, s \rangle \longrightarrow_c s'$
IfFalse: $\neg b \ s \Longrightarrow \langle c1, s \rangle \longrightarrow_c s' \Longrightarrow \langle \text{IF } b \ \text{THEN } c0 \ \text{ELSE } c1, s \rangle \longrightarrow_c s'$

WhileFalse: $\neg b \ s \Longrightarrow \langle \text{WHILE } b \ \text{DO } c, s \rangle \longrightarrow_c s$
WhileTrue: $b \ s \Longrightarrow \langle c, s \rangle \longrightarrow_c s'' \Longrightarrow \langle \text{WHILE } b \ \text{DO } c, s'' \rangle \longrightarrow_c s' \Longrightarrow \langle \text{WHILE } b \ \text{DO } c, s \rangle \longrightarrow_c s'$

lemmas *evalc.intros* [*intro*] — use those rules in automatic proofs

The induction principle induced by this definition looks like this:

$$\begin{aligned}
& \llbracket \langle x1, x2 \rangle \longrightarrow_c x3; \bigwedge s. P \text{ SKIP } s \ s; \bigwedge x a s. P (x ::= a) \ s \ (s[x \mapsto a] s) \rrbracket; \\
& \bigwedge c0 \ s \ s'' \ c1 \ s'. \\
& \quad \llbracket \langle c0, s \rangle \longrightarrow_c s''; P \ c0 \ s \ s''; \langle c1, s' \rangle \longrightarrow_c s'; P \ c1 \ s'' \ s' \rrbracket \\
& \quad \implies P (c0; c1) \ s \ s'; \\
& \bigwedge b \ s \ c0 \ s' \ c1. \llbracket b \ s; \langle c0, s \rangle \longrightarrow_c s'; P \ c0 \ s \ s' \rrbracket \implies P (\text{IF } b \text{ THEN } c0 \\
& \text{ELSE } c1) \ s \ s'; \\
& \bigwedge b \ s \ c1 \ s' \ c0. \llbracket \neg b \ s; \langle c1, s \rangle \longrightarrow_c s'; P \ c1 \ s \ s' \rrbracket \implies P (\text{IF } b \text{ THEN } c0 \\
& \text{ELSE } c1) \ s \ s'; \\
& \bigwedge b \ s \ c. \neg b \ s \implies P (\text{WHILE } b \text{ DO } c) \ s \ s; \\
& \bigwedge b \ s \ c \ s'' \ s'. \\
& \quad \llbracket b \ s; \langle c, s \rangle \longrightarrow_c s''; P \ c \ s \ s''; \langle \text{WHILE } b \text{ DO } c, s' \rangle \longrightarrow_c s'; \\
& \quad P (\text{WHILE } b \text{ DO } c) \ s'' \ s' \rrbracket \\
& \quad \implies P (\text{WHILE } b \text{ DO } c) \ s \ s' \\
& \implies P \ x1 \ x2 \ x3
\end{aligned}$$

(\bigwedge and \implies are Isabelle's meta symbols for \forall and \longrightarrow)

The rules of *evalc* are syntax directed, i.e. for each syntactic category there is always only one rule applicable. That means we can use the rules in both directions. This property is called rule inversion.

$$\begin{aligned}
\text{inductive-cases } \text{skipE} \ [\text{elim!}]: \quad & \langle \text{SKIP}, s \rangle \longrightarrow_c s' \\
\text{inductive-cases } \text{semiE} \ [\text{elim!}]: \quad & \langle c0; c1, s \rangle \longrightarrow_c s' \\
\text{inductive-cases } \text{assignE} \ [\text{elim!}]: \quad & \langle x ::= a, s \rangle \longrightarrow_c s' \\
\text{inductive-cases } \text{ifE} \ [\text{elim!}]: \quad & \langle \text{IF } b \text{ THEN } c0 \text{ ELSE } c1, s \rangle \longrightarrow_c s' \\
\text{inductive-cases } \text{whileE} \ [\text{elim!}]: \quad & \langle \text{WHILE } b \text{ DO } c, s \rangle \longrightarrow_c s'
\end{aligned}$$

The next proofs are all trivial by rule inversion.

inductive-simps

$$\begin{aligned}
& \text{skip: } \langle \text{SKIP}, s \rangle \longrightarrow_c s' \\
& \text{and assign: } \langle x ::= a, s \rangle \longrightarrow_c s' \\
& \text{and semi: } \langle c0; c1, s \rangle \longrightarrow_c s'
\end{aligned}$$

lemma ifTrue:

$$\begin{aligned}
& b \ s \implies \langle \text{IF } b \text{ THEN } c0 \text{ ELSE } c1, s \rangle \longrightarrow_c s' = \langle c0, s \rangle \longrightarrow_c s' \\
& \text{by auto}
\end{aligned}$$

lemma ifFalse:

$$\begin{aligned}
& \neg b \ s \implies \langle \text{IF } b \text{ THEN } c0 \text{ ELSE } c1, s \rangle \longrightarrow_c s' = \langle c1, s \rangle \longrightarrow_c s' \\
& \text{by auto}
\end{aligned}$$

lemma whileFalse:

$$\begin{aligned}
& \neg b \ s \implies \langle \text{WHILE } b \text{ DO } c, s \rangle \longrightarrow_c s' = (s' = s) \\
& \text{by auto}
\end{aligned}$$

lemma whileTrue:

$$\begin{aligned}
& b \ s \implies \\
& \langle \text{WHILE } b \text{ DO } c, s \rangle \longrightarrow_c s' =
\end{aligned}$$

$(\exists s''. \langle c, s \rangle \longrightarrow_c s'' \wedge \langle \text{WHILE } b \text{ DO } c, s'' \rangle \longrightarrow_c s')$
by *auto*

Again, Isabelle may use these rules in automatic proofs:

lemmas *evalc-cases* [*simp*] = *skip assign ifTrue ifFalse whileFalse semi whileTrue*

4.2 Equivalence of statements

We call two statements c and c' equivalent wrt. the big-step semantics when c started in s terminates in s' iff c' started in the same s also terminates in the same s' . Formally:

definition

equiv-c :: $com \Rightarrow com \Rightarrow bool$ ($- \sim -$ [56, 56] 55) **where**
 $c \sim c' = (\forall s s'. \langle c, s \rangle \longrightarrow_c s' = \langle c', s \rangle \longrightarrow_c s')$

Proof rules telling Isabelle to unfold the definition if there is something to be proved about equivalent statements:

lemma *equivI* [*intro!*]:

$(\bigwedge s s'. \langle c, s \rangle \longrightarrow_c s' = \langle c', s \rangle \longrightarrow_c s') \Longrightarrow c \sim c'$
by (*unfold equiv-c-def*) *blast*

lemma *equivD1*:

$c \sim c' \Longrightarrow \langle c, s \rangle \longrightarrow_c s' \Longrightarrow \langle c', s \rangle \longrightarrow_c s'$
by (*unfold equiv-c-def*) *blast*

lemma *equivD2*:

$c \sim c' \Longrightarrow \langle c', s \rangle \longrightarrow_c s' \Longrightarrow \langle c, s \rangle \longrightarrow_c s'$
by (*unfold equiv-c-def*) *blast*

As an example, we show that loop unfolding is an equivalence transformation on programs:

lemma *unfold-while*:

$(\text{WHILE } b \text{ DO } c) \sim (\text{IF } b \text{ THEN } c; \text{WHILE } b \text{ DO } c \text{ ELSE SKIP})$ (*is ?w ~ ?if*)

proof —

— to show the equivalence, we look at the derivation tree for
 — each side and from that construct a derivation tree for the other
 side

{ fix $s s'$ **assume** $w: \langle ?w, s \rangle \longrightarrow_c s'$

— as a first thing we note that, if b is *False* in state s ,
 — then both statements do nothing:

hence $\neg b \ s \Longrightarrow s = s'$ **by** *blast*

hence $\neg b \ s \Longrightarrow \langle ?if, s \rangle \longrightarrow_c s'$ **by** *blast*

moreover

— on the other hand, if b is *True* in state s ,

— then only the *WhileTrue* rule can have been used to derive $\langle ?w, s \rangle \longrightarrow_c s'$

```

{ assume  $b: b\ s$ 
  with  $w$  obtain  $s''$  where
     $\langle c, s \rangle \rightarrow_c s''$  and  $\langle ?w, s'' \rangle \rightarrow_c s'$  by (cases set: evalc) auto
    — now we can build a derivation tree for the IF
    — first, the body of the True-branch:
    hence  $\langle c; ?w, s \rangle \rightarrow_c s'$  by (rule Semi)
    — then the whole IF
    with  $b$  have  $\langle ?if, s \rangle \rightarrow_c s'$  by (rule IfTrue)
  }
ultimately
— both cases together give us what we want:
have  $\langle ?if, s \rangle \rightarrow_c s'$  by blast
}
moreover
— now the other direction:
{ fix  $s\ s'$  assume  $if: \langle ?if, s \rangle \rightarrow_c s'$ 
  — again, if  $b$  is False in state  $s$ , then the False-branch
  — of the IF is executed, and both statements do nothing:
  hence  $\neg b\ s \implies s = s'$  by blast
  hence  $\neg b\ s \implies \langle ?w, s \rangle \rightarrow_c s'$  by blast
  moreover
  — on the other hand, if  $b$  is True in state  $s$ ,
  — then this time only the IfTrue rule can have be used
  { assume  $b: b\ s$ 
    with  $if$  have  $\langle c; ?w, s \rangle \rightarrow_c s'$  by (cases set: evalc) auto
    — and for this, only the Semi-rule is applicable:
    then obtain  $s''$  where
       $\langle c, s \rangle \rightarrow_c s''$  and  $\langle ?w, s'' \rangle \rightarrow_c s'$  by (cases set: evalc) auto
      — with this information, we can build a derivation tree for the
    WHILE
      with  $b$ 
      have  $\langle ?w, s \rangle \rightarrow_c s'$  by (rule WhileTrue)
    }
    ultimately
    — both cases together again give us what we want:
    have  $\langle ?w, s \rangle \rightarrow_c s'$  by blast
  }
  ultimately
  show ?thesis by blast
}
qed

```

Happily, such lengthy proofs are seldom necessary. Isabelle can prove many such facts automatically.

lemma
 $(\text{WHILE } b \text{ DO } c) \sim (\text{IF } b \text{ THEN } c; \text{ WHILE } b \text{ DO } c \text{ ELSE SKIP})$
 by blast

lemma *triv-if*:
 $(\text{IF } b \text{ THEN } c \text{ ELSE } c) \sim c$

by blast

lemma *commute-if*:

(*IF* *b1* *THEN* (*IF* *b2* *THEN* *c11* *ELSE* *c12*) *ELSE* *c2*)
 \sim
(*IF* *b2* *THEN* (*IF* *b1* *THEN* *c11* *ELSE* *c2*) *ELSE* (*IF* *b1* *THEN* *c12* *ELSE* *c2*))
by blast

lemma *while-equiv*:

$\langle c0, s \rangle \rightarrow_c u \implies c \sim c' \implies (c0 = \text{WHILE } b \text{ DO } c) \implies \langle \text{WHILE } b \text{ DO } c', s \rangle \rightarrow_c u$
by (induct rule: evalc.induct) (auto simp add: equiv-c-def)

lemma *equiv-while*:

$c \sim c' \implies (\text{WHILE } b \text{ DO } c) \sim (\text{WHILE } b \text{ DO } c')$
by (simp add: equiv-c-def) (metis equiv-c-def while-equiv)

Program equivalence is an equivalence relation.

lemma *equiv-refl*:

$c \sim c$
by blast

lemma *equiv-sym*:

$c1 \sim c2 \implies c2 \sim c1$
by (auto simp add: equiv-c-def)

lemma *equiv-trans*:

$c1 \sim c2 \implies c2 \sim c3 \implies c1 \sim c3$
by (auto simp add: equiv-c-def)

Program constructions preserve equivalence.

lemma *equiv-semi*:

$c1 \sim c1' \implies c2 \sim c2' \implies (c1; c2) \sim (c1'; c2')$
by (force simp add: equiv-c-def)

lemma *equiv-if*:

$c1 \sim c1' \implies c2 \sim c2' \implies (\text{IF } b \text{ THEN } c1 \text{ ELSE } c2) \sim (\text{IF } b \text{ THEN } c1' \text{ ELSE } c2')$
by (force simp add: equiv-c-def)

lemma *while-never*: $\langle c, s \rangle \rightarrow_c u \implies c \neq \text{WHILE } (\lambda s. \text{True}) \text{ DO } c1$

apply (induct rule: evalc.induct)

apply auto

done

lemma *equiv-while-True*:

$(\text{WHILE } (\lambda s. \text{True}) \text{ DO } c1) \sim (\text{WHILE } (\lambda s. \text{True}) \text{ DO } c2)$
by (blast dest: while-never)

4.3 Execution is deterministic

This proof is automatic.

theorem $\langle c, s \rangle \rightarrow_c t \implies \langle c, s \rangle \rightarrow_c u \implies u = t$
by (*induct arbitrary: u rule: evalc.induct*) *blast+*

The following proof presents all the details:

theorem *com-det:*

assumes $\langle c, s \rangle \rightarrow_c t$ **and** $\langle c, s \rangle \rightarrow_c u$

shows $u = t$

using *assms*

proof (*induct arbitrary: u set: evalc*)

fix $s\ u$ **assume** $\langle SKIP, s \rangle \rightarrow_c u$

thus $u = s$ **by** *blast*

next

fix $a\ s\ x\ u$ **assume** $\langle x := a, s \rangle \rightarrow_c u$

thus $u = s[x \mapsto a]$ **by** *blast*

next

fix $c0\ c1\ s\ s1\ s2\ u$

assume $IH0: \bigwedge u. \langle c0, s \rangle \rightarrow_c u \implies u = s2$

assume $IH1: \bigwedge u. \langle c1, s2 \rangle \rightarrow_c u \implies u = s1$

assume $\langle c0; c1, s \rangle \rightarrow_c u$

then obtain s' **where**

$c0: \langle c0, s \rangle \rightarrow_c s'$ **and**

$c1: \langle c1, s' \rangle \rightarrow_c u$

by *auto*

from $c0\ IH0$ **have** $s' = s2$ **by** *blast*

with $c1\ IH1$ **show** $u = s1$ **by** *blast*

next

fix $b\ c0\ c1\ s\ s1\ u$

assume $IH: \bigwedge u. \langle c0, s \rangle \rightarrow_c u \implies u = s1$

assume $b\ s$ **and** $\langle IF\ b\ THEN\ c0\ ELSE\ c1, s \rangle \rightarrow_c u$

hence $\langle c0, s \rangle \rightarrow_c u$ **by** *blast*

with IH **show** $u = s1$ **by** *blast*

next

fix $b\ c0\ c1\ s\ s1\ u$

assume $IH: \bigwedge u. \langle c1, s \rangle \rightarrow_c u \implies u = s1$

assume $\neg b\ s$ **and** $\langle IF\ b\ THEN\ c0\ ELSE\ c1, s \rangle \rightarrow_c u$

hence $\langle c1, s \rangle \rightarrow_c u$ **by** *blast*

with IH **show** $u = s1$ **by** *blast*

next

fix $b\ c\ s\ u$

assume $\neg b\ s$ **and** $\langle WHILE\ b\ DO\ c, s \rangle \rightarrow_c u$

thus $u = s$ **by** *blast*

next


```

fix  $b\ c\ s\ s1\ s2\ u$ 
assume  $IH_c: \bigwedge u. \langle c, s \rangle \longrightarrow_c u \implies u = s2$ 
assume  $IH_w: \bigwedge u. \langle \text{WHILE } b\ DO\ c, s2 \rangle \longrightarrow_c u \implies u = s1$ 

assume  $b\ s$  and  $\langle \text{WHILE } b\ DO\ c, s \rangle \longrightarrow_c u$ 
then obtain  $s'$  where
   $c: \langle c, s \rangle \longrightarrow_c s'$  and
   $w: \langle \text{WHILE } b\ DO\ c, s \rangle \longrightarrow_c u$ 
by auto

from  $c\ IH_c$  have  $s' = s2$  by blast
with  $w\ IH_w$  show  $u = s1$  by blast
qed

```

This is the proof as you might present it in a lecture. The remaining cases are simple enough to be proved automatically:

```

theorem
  assumes  $\langle c, s \rangle \longrightarrow_c t$  and  $\langle c, s \rangle \longrightarrow_c u$ 
  shows  $u = t$ 
  using assms
proof (induct arbitrary: u)
  — the simple SKIP case for demonstration:
  fix  $s\ u$  assume  $\langle \text{SKIP}, s \rangle \longrightarrow_c u$ 
  thus  $u = s$  by blast
next
  — and the only really interesting case, WHILE:
  fix  $b\ c\ s\ s1\ s2\ u$ 
  assume  $IH_c: \bigwedge u. \langle c, s \rangle \longrightarrow_c u \implies u = s2$ 
  assume  $IH_w: \bigwedge u. \langle \text{WHILE } b\ DO\ c, s2 \rangle \longrightarrow_c u \implies u = s1$ 

  assume  $b\ s$  and  $\langle \text{WHILE } b\ DO\ c, s \rangle \longrightarrow_c u$ 
  then obtain  $s'$  where
     $c: \langle c, s \rangle \longrightarrow_c s'$  and
     $w: \langle \text{WHILE } b\ DO\ c, s \rangle \longrightarrow_c u$ 
  by auto

  from  $c\ IH_c$  have  $s' = s2$  by blast
  with  $w\ IH_w$  show  $u = s1$  by blast
qed blast+ — prove the rest automatically

end

```

5 Inductive Definition of Hoare Logic

```

theory Hoare imports Natural begin

```

```

types assn = state => bool

```

inductive

hoare :: *assn* ==> *com* ==> *assn* ==> *bool* ($\vdash \{(1-)\} / (-) / \{(1-)\}$ 50)

where

skip: $\vdash \{P\} \text{SKIP} \{P\}$
 $\vdash \text{ass}$: $\vdash \{\%s. P(s[x \mapsto a \ s])\} \ x ::= a \ \{P\}$
 $\vdash \text{semi}$: $\llbracket \vdash \{P\} c \{Q\}; \vdash \{Q\} d \{R\} \rrbracket \implies \vdash \{P\} \ c; d \ \{R\}$
 $\vdash \text{If}$: $\llbracket \vdash \{\%s. P \ s \ \& \ b \ s\} c \{Q\}; \vdash \{\%s. P \ s \ \& \ \sim b \ s\} d \{Q\} \rrbracket \implies$
 $\vdash \{P\} \ \text{IF } b \ \text{THEN } c \ \text{ELSE } d \ \{Q\}$
 $\vdash \text{While}$: $\vdash \{\%s. P \ s \ \& \ b \ s\} \ c \ \{P\} \implies$
 $\vdash \{P\} \ \text{WHILE } b \ \text{DO } c \ \{\%s. P \ s \ \& \ \sim b \ s\}$
 $\vdash \text{conseq}$: $\llbracket !s. P' \ s \dashrightarrow P \ s; \vdash \{P\} c \{Q\}; !s. Q \ s \dashrightarrow Q' \ s \rrbracket \implies$
 $\vdash \{P'\} c \{Q'\}$

lemma *strengthen-pre*: $\llbracket !s. P' \ s \dashrightarrow P \ s; \vdash \{P\} c \{Q\} \rrbracket \implies \vdash \{P'\} c \{Q\}$

by (*blast intro: conseq*)

lemma *weaken-post*: $\llbracket \vdash \{P\} c \{Q\}; !s. Q \ s \dashrightarrow Q' \ s \rrbracket \implies \vdash \{P\} c \{Q'\}$

by (*blast intro: conseq*)

lemma *While'*:

assumes $\vdash \{\%s. P \ s \ \& \ b \ s\} \ c \ \{P\}$ **and** *ALL* *s*. $P \ s \ \& \ \neg b \ s \longrightarrow Q \ s$

shows $\vdash \{P\} \ \text{WHILE } b \ \text{DO } c \ \{Q\}$

by (*rule weaken-post[OF While[OF assms(1)] assms(2)]*)

lemmas [*simp*] = *skip ass semi If*

lemmas [*intro!*] = *hoare.skip hoare.ass hoare.semi hoare.If*

end

6 Soundness and Completeness wrt Operational Semantics

theory *Hoare-Op* **imports** *Hoare* **begin**

definition

hoare-valid :: [*assn, com, assn*] ==> *bool* ($\models \{(1-)\} / (-) / \{(1-)\}$ 50)

where

$\models \{P\} c \{Q\} = (!s \ t. \langle c, s \rangle \longrightarrow_c t \dashrightarrow P \ s \dashrightarrow Q \ t)$

lemma *hoare-sound*: $\vdash \{P\} c \{Q\} \implies \models \{P\} c \{Q\}$

proof (*induct rule: hoare.induct*)

```

case (While P b c)
{ fix s t
  assume  $\langle \text{WHILE } b \text{ DO } c, s \rangle \longrightarrow_c t$ 
  hence  $P\ s \longrightarrow P\ t \wedge \neg b\ t$ 
  proof(induct WHILE b DO c s t)
    case WhileFalse thus ?case by blast
  next
    case WhileTrue thus ?case
    using While(2) unfolding hoare-valid-def by blast
  qed

}
thus ?case unfolding hoare-valid-def by blast
qed (auto simp: hoare-valid-def)

```

definition

```

 $wp :: com \Rightarrow assn \Rightarrow assn$  where
 $wp\ c\ Q = (\%s. !t. \langle c, s \rangle \longrightarrow_c t \longrightarrow Q\ t)$ 

```

lemma *wp-SKIP*: $wp\ SKIP\ Q = Q$
by (*simp add: wp-def*)

lemma *wp-Ass*: $wp\ (x ::= a)\ Q = (\%s. Q(s[x \mapsto a\ s]))$
by (*simp add: wp-def*)

lemma *wp-Semi*: $wp\ (c; d)\ Q = wp\ c\ (wp\ d\ Q)$
by (*rule ext*) (*auto simp: wp-def*)

lemma *wp-If*:
 $wp\ (IF\ b\ THEN\ c\ ELSE\ d)\ Q = (\%s. (b\ s \longrightarrow wp\ c\ Q\ s) \ \&\ (\sim b\ s \longrightarrow wp\ d\ Q\ s))$
by (*rule ext*) (*auto simp: wp-def*)

lemma *wp-While-If*:
 $wp\ (WHILE\ b\ DO\ c)\ Q\ s =$
 $wp\ (IF\ b\ THEN\ c; WHILE\ b\ DO\ c\ ELSE\ SKIP)\ Q\ s$
unfolding *wp-def* **by** (*metis equivD1 equivD2 unfold-while*)

lemma *wp-While-True*: $b\ s \implies$
 $wp\ (WHILE\ b\ DO\ c)\ Q\ s = wp\ (c; WHILE\ b\ DO\ c)\ Q\ s$
by(*simp add: wp-While-If wp-If wp-SKIP*)

lemma *wp-While-False*: $\sim b\ s \implies wp\ (WHILE\ b\ DO\ c)\ Q\ s = Q\ s$
by(*simp add: wp-While-If wp-If wp-SKIP*)

lemmas [*simp*] = *wp-SKIP wp-Ass wp-Semi wp-If wp-While-True wp-While-False*

lemma *wp-is-pre*: $|- \{wp\ c\ Q\}\ c\ \{Q\}$
proof(*induct c arbitrary: Q*)

```

    case SKIP show ?case by auto
next
    case Assign show ?case by auto
next
    case Semi thus ?case by (auto intro: semi)
next
    case (Cond b c1 c2)
    let ?If = IF b THEN c1 ELSE c2
    show ?case
    proof(rule If)
      show  $\vdash \{\lambda s. wp \ ?If \ Q \ s \wedge b \ s\} \ c1 \ \{Q\}$ 
      proof(rule strengthen-pre[OF - Cond(1)])
        show  $\forall s. wp \ ?If \ Q \ s \wedge b \ s \longrightarrow wp \ c1 \ Q \ s$  by auto
      qed
      show  $\vdash \{\lambda s. wp \ ?If \ Q \ s \wedge \neg b \ s\} \ c2 \ \{Q\}$ 
      proof(rule strengthen-pre[OF - Cond(2)])
        show  $\forall s. wp \ ?If \ Q \ s \wedge \neg b \ s \longrightarrow wp \ c2 \ Q \ s$  by auto
      qed
    qed
  qed
next
    case (While b c)
    let ?w = WHILE b DO c
    have  $\vdash \{wp \ ?w \ Q\} \ ?w \ \{\lambda s. wp \ ?w \ Q \ s \wedge \neg b \ s\}$ 
    proof(rule hoare.While)
      show  $\vdash \{\lambda s. wp \ ?w \ Q \ s \wedge b \ s\} \ c \ \{wp \ ?w \ Q\}$ 
      proof(rule strengthen-pre[OF - While(1)])
        show  $\forall s. wp \ ?w \ Q \ s \wedge b \ s \longrightarrow wp \ c \ (wp \ ?w \ Q) \ s$  by auto
      qed
    qed
    thus ?case
    proof(rule weaken-post)
      show  $\forall s. wp \ ?w \ Q \ s \wedge \neg b \ s \longrightarrow Q \ s$  by auto
    qed
  qed
end

lemma hoare-relative-complete: assumes  $\models \{P\}c\{Q\}$  shows  $\vdash \{P\}c\{Q\}$ 
proof(rule strengthen-pre)
  show  $\forall s. P \ s \longrightarrow wp \ c \ Q \ s$  using assms
  by (auto simp: hoare-valid-def wp-def)
  show  $\vdash \{wp \ c \ Q\} \ c \ \{Q\}$  by (rule wp-is-pre)
qed

end

```

7 Verification Conditions

theory *VC* imports *Hoare-Op* begin

```

datatype acom = Askip
    | Aass loc aexp
    | Asemi acom acom
    | Aif bexp acom acom
    | Awhile bexp assn acom

```

primrec awp :: acom => assn => assn

where

```

    awp Askip Q = Q
  | awp (Aass x a) Q = (λs. Q(s[x↦a s]))
  | awp (Asemi c d) Q = awp c (awp d Q)
  | awp (Aif b c d) Q = (λs. (b s --> awp c Q s) & (~b s --> awp d Q s))
  | awp (Awhile b I c) Q = I

```

primrec vc :: acom => assn => assn

where

```

    vc Askip Q = (λs. True)
  | vc (Aass x a) Q = (λs. True)
  | vc (Asemi c d) Q = (λs. vc c (awp d Q) s & vc d Q s)
  | vc (Aif b c d) Q = (λs. vc c Q s & vc d Q s)
  | vc (Awhile b I c) Q = (λs. (I s & ~b s --> Q s) &
    (I s & b s --> awp c I s) & vc c I s)

```

primrec astrip :: acom => com

where

```

    astrip Askip = SKIP
  | astrip (Aass x a) = (x:=a)
  | astrip (Asemi c d) = (astrip c; astrip d)
  | astrip (Aif b c d) = (IF b THEN astrip c ELSE astrip d)
  | astrip (Awhile b I c) = (WHILE b DO astrip c)

```

primrec vcawp :: acom => assn => assn × assn

where

```

    vcawp Askip Q = (λs. True, Q)
  | vcawp (Aass x a) Q = (λs. True, λs. Q(s[x↦a s]))
  | vcawp (Asemi c d) Q = (let (vcd, wpc) = vcawp d Q;
    (vcc, wpc) = vcawp c wpc
    in (λs. vcc s & vcd s, wpc))
  | vcawp (Aif b c d) Q = (let (vcd, wpc) = vcawp d Q;
    (vcc, wpc) = vcawp c Q
    in (λs. vcc s & vcd s,
    λs. (b s --> wpc s) & (~b s --> wpc s)))
  | vcawp (Awhile b I c) Q = (let (vcc, wpc) = vcawp c I
    in (λs. (I s & ~b s --> Q s) &
    (I s & b s --> wpc s) & vcc s, I))

```

declare *hoare.conseq* [intro]

lemma *vc-sound*: $(ALL\ s.\ vc\ c\ Q\ s) \implies \vdash \{awp\ c\ Q\}\ astrip\ c\ \{Q\}$
proof(*induct c arbitrary: Q*)
 case (*Awhile b I c*)
 show ?*case*
 proof(*simp, rule While'*)
 from $\langle \forall s.\ vc\ (Awhile\ b\ I\ c)\ Q\ s \rangle$
 have *vc*: $ALL\ s.\ vc\ c\ I\ s$ **and** *IQ*: $ALL\ s.\ I\ s \wedge \neg\ b\ s \longrightarrow Q\ s$ **and**
 awp : $ALL\ s.\ I\ s \ \&\ b\ s \longrightarrow awp\ c\ I\ s$ **by** *simp-all*
 from *vc* **have** $\vdash \{awp\ c\ I\}\ astrip\ c\ \{I\}$ **using** *Awhile.hyps* **by**
blast
 with *awp* **show** $\vdash \{\lambda s.\ I\ s \wedge b\ s\}\ astrip\ c\ \{I\}$
 by(*rule strengthen-pre*)
 show $\forall s.\ I\ s \wedge \neg\ b\ s \longrightarrow Q\ s$ **by**(*rule IQ*)
 qed
qed *auto*

lemma *awp-mono*:
 $(!s.\ P\ s \longrightarrow Q\ s) \implies awp\ c\ P\ s \implies awp\ c\ Q\ s$
proof (*induct c arbitrary: P Q s*)
 case *Asemi* **thus** ?*case* **by** *simpmetis*
qed *simp-all*

lemma *vc-mono*:
 $(!s.\ P\ s \longrightarrow Q\ s) \implies vc\ c\ P\ s \implies vc\ c\ Q\ s$
proof(*induct c arbitrary: P Q*)
 case *Asemi* **thus** ?*case* **by** *simp (metis awp-mono)*
qed *simp-all*

lemma *vc-complete*: **assumes** *der*: $\vdash \{P\}c\{Q\}$
 shows $(\exists\ ac.\ astrip\ ac = c \ \&\ (\forall\ s.\ vc\ ac\ Q\ s) \ \&\ (\forall\ s.\ P\ s \longrightarrow awp\ ac\ Q\ s))$
 (is ?*ac*. ?*Eq P c Q ac*)
using *der*
proof *induct*
 case *skip*
 show ?*case* (**is** ?*ac*. ?*C ac*)
 proof **show** ?*C Askip* **by** *simp* **qed**
next
 case (*ass P x a*)
 show ?*case* (**is** ?*ac*. ?*C ac*)
 proof **show** ?*C (Aass x a)* **by** *simp* **qed**
next
 case (*semi P c1 Q c2 R*)
 from *semi.hyps* **obtain** *ac1* **where** *ih1*: ?*Eq P c1 Q ac1* **by** *fast*

```

from semi.hyps obtain ac2 where ih2: ?Eq Q c2 R ac2 by fast
show ?case (is ? ac. ?C ac)
proof
  show ?C(Asemi ac1 ac2)
    using ih1 ih2 by simp (fast elim!: awp-mono vc-mono)
  qed
next
  case (If P b c1 Q c2)
    from If.hyps obtain ac1 where ih1: ?Eq (%s. P s & b s) c1 Q
    ac1 by fast
    from If.hyps obtain ac2 where ih2: ?Eq (%s. P s & ~b s) c2 Q
    ac2 by fast
    show ?case (is ? ac. ?C ac)
    proof
      show ?C(Aif b ac1 ac2)
        using ih1 ih2 by simp
      qed
    next
      case (While P b c)
        from While.hyps obtain ac where ih: ?Eq (%s. P s & b s) c P ac
        ac by fast
        show ?case (is ? ac. ?C ac)
        proof show ?C(Awhile b P ac) using ih by simp qed
      next
        case conseq thus ?case by(fast elim!: awp-mono vc-mono)
      qed
    qed

lemma vcawp-vc-awp: vcawp c Q = (vc c Q, awp c Q)
  by (induct c arbitrary: Q) (simp-all add: Let-def)

end

```

8 Denotational Semantics of Commands

theory *Denotation* **imports** *Natural* **begin**

types *com-den* = (*state* × *state*)*set*

definition

Gamma :: [*bexp, com-den*] => (*com-den* => *com-den*) **where**
Gamma b cd = ($\lambda phi. \{(s, t). (s, t) \in (cd \ O \ phi) \wedge b \ s\} \cup \{(s, t). s=t \wedge \neg b \ s\}$)

primrec *C* :: *com* => *com-den*

where

C-skip: *C SKIP* = *Id*
| *C-assign*: *C (x ::= a)* = $\{(s, t). t = s[x \mapsto a(s)]\}$
| *C-comp*: *C (c0; c1)* = *C(c0) O C(c1)*

| *C-if*: $C (IF\ b\ THEN\ c1\ ELSE\ c2) = \{(s,t). (s,t) \in C\ c1 \wedge b\ s\} \cup \{(s,t). (s,t) \in C\ c2 \wedge \neg b\ s\}$
| *C-while*: $C(WHILE\ b\ DO\ c) = lfp\ (Gamma\ b\ (C\ c))$

lemma *Gamma-mono*: *mono* (*Gamma* *b* *c*)
by (*unfold Gamma-def mono-def*) *fast*

lemma *C-While-If*: $C(WHILE\ b\ DO\ c) = C(IF\ b\ THEN\ c; WHILE\ b\ DO\ c\ ELSE\ SKIP)$
apply *simp*
apply (*subst lfp-unfold [OF Gamma-mono]*) — lhs only
apply (*simp add: Gamma-def*)
done

lemma *com1*: $\langle c, s \rangle \longrightarrow_c t \implies (s, t) \in C(c)$

apply (*induct set: evalc*)
apply *auto*

apply (*unfold Gamma-def*)
apply (*subst lfp-unfold [OF Gamma-mono, simplified Gamma-def]*)
apply *fast*
apply (*subst lfp-unfold [OF Gamma-mono, simplified Gamma-def]*)
apply *auto*
done

lemma *com2*: $(s, t) \in C(c) \implies \langle c, s \rangle \longrightarrow_c t$
apply (*induct c arbitrary: s t*)
apply *auto*
apply *blast*

apply (*erule lfp-induct2 [OF - Gamma-mono]*)
apply (*unfold Gamma-def*)
apply *auto*
done

lemma *denotational-is-natural*: $(s, t) \in C(c) = (\langle c, s \rangle \longrightarrow_c t)$
by (*fast elim: com2 dest: com1*)

end

9 A Proof-Of-Technology of Program-based Testing: The Framework

```
theory
  program-based-testing
imports
  IMP-2011 / VC
  IMP-2011 / Denotation
  Testing
```

begin

9.1 Unfold and its Correctness

The core of our white box testing function is the following “unwind” function, that “unfolds” while loops and normalizes the resulting program in order to expose it to the operational semantics (i.e. the “natural semantics” *evalc* up to an unwind factor k . Evaluating programs leads to accumulating path-conditions: If a remaining constraint (whose components essentially result from applications of the *If-split* rule), is satisfiable that a path through a program is traceable and results to a certain successor state.

This can be used to test program specifications: Hoare-Triples were checked against for all paths up to a certain depth.

```
primrec Append :: [com,com]  $\Rightarrow$  com (infixr @@ 70)
```

where

```
  conc-skip : SKIP @@ c = c
| conc-ass  : (x ::= E) @@ c = ((x ::= E); c)
| conc-semi : (c;d) @@ e = (c; d @@ e)
| conc-If   : (IF b THEN c ELSE d) @@ e =
              (IF b THEN c @@ e ELSE d @@ e)
| conc-while: (WHILE b DO c) @@ e = ((WHILE b DO c);e)
```

```
lemma C-skip-cancel1[simp] : C(SKIP;c) = C(c)
  by (simp add: Denotation.C.simps Id-O-R R-O-Id)
```

```
lemma C-skip-cancel2[simp] : C(c;SKIP) = C(c)
  by (simp add: Denotation.C.simps Id-O-R R-O-Id)
```

```
lemma C-If-semi[simp] :
```

$C((IF\ x\ THEN\ c\ ELSE\ d);e) = C(IF\ x\ THEN\ (c;e)\ ELSE\ (d;e))$
by *auto*

lemma *comappend-correct [simp]*: $C(c\ @\@ d) = C(c;d)$
apply(*induct c*)
apply(*simp-all only: C-If-semi conc-If*)
apply(*simp-all add: Relation.O-assoc*)
done

fun *unfold* :: $nat \times com \Rightarrow com$
where
 $uf_skip : unfold(n, SKIP) = SKIP$
 $| uf_ass : unfold(n, a ::= E) = (a ::= E)$
 $| uf_If : unfold(n, IF\ b\ THEN\ c\ ELSE\ d) =$
 $IF\ b\ THEN\ unfold(n, c)\ ELSE\ unfold(n, d)$
 $| uf_while : unfold(n, WHILE\ b\ DO\ c) =$
 $(if\ 0 < n$
 $then\ IF\ b\ THEN\ unfold(n,c)@@unfold(n-1,WHILE\ b\ DO$
 $c)\ ELSE\ SKIP$
 $else\ WHILE\ b\ DO\ unfold(0, c))$
 $| uf_semi1 : unfold(n, SKIP ; c) = unfold(n, c)$
 $| uf_semi2 : unfold(n, c ; SKIP) = unfold(n, c)$
 $| uf_semi3 : unfold(n, (IF\ b\ THEN\ c\ ELSE\ d) ; e) =$
 $(IF\ b\ THEN\ (unfold(n,c;e))\ ELSE\ (unfold(n,d;e)))$
 $| uf_semi4 : unfold(n, (c ; d) ; e) = (unfold(n, c;d)@@(unfold(n,e)))$
 $| uf_semi5 : unfold(n, c ; d) = (unfold(n, c)@@(unfold(n, d)))$

lemma *unfold-correct-aux1* :
assumes $H : \forall x. C(unfold(x, c)) = C\ c$
shows $C(unfold(n, WHILE\ b\ DO\ c)) = C(WHILE\ b\ DO\ c)$
proof (*induct n*)
case 0 **then show** ?case
by(*simp add: Denotation.C.simps H*)
next
case (*Suc n*) **then show** ?case
apply(*subst uf-while,subst if-P, simp*)
apply(*rule-tac s = n and t = Suc n - 1 in subst,arith*)
apply(*simp only: Denotation.C.simps comappend-correct*)
apply(*simp only: Denotation.C.simps [symmetric] H*)
apply(*simp only: Denotation.C-While-If*)
done

qed

declare *uf-while [simp del]*

lemma *unfold-correct-aux2* :
 $C(unfold(n,c;d)) = C(unfold(n,c) ; unfold(n, d))$

```

proof (induct c) print-cases
  case SKIP then show ?case by(simp)
next
  case (Assign loc E) then show ?case by(case-tac d, simp-all)
next
  case (Semi c1 c2) then show ?case by(case-tac d, simp-all)
next
  case (Cond cond then-branch else-branch) then show ?case
    apply (case-tac d, simp-all)
    apply (simp-all only: C.simps[symmetric] C-If-semi)
    by auto
next
  case (While cond body) then show ?case by(case-tac d, simp-all)
qed

```

```

lemma unfold-correct [rule-format]:  $\forall x. (C(\text{unfold}(x,c)) = C(c))$ 
proof(induct c)
  case SKIP then show ?case by simp
next
  case (Assign loc E) then show ?case by simp
next
  case (Semi c1 c2) then show ?case
    by (cases c1, cases c2,
      simp-all add: unfold-correct-aux2)
next
  case (Cond cond then-branch else-branch) then show ?case by simp
next
  case (While cond body) then show ?case
    by (intro allI unfold-correct-aux1, auto)
qed

```

```

lemma wp-unfold :  $\text{wp } (c) (p) = \text{wp}(\text{unfold}(n,c)) (p)$ 
by(simp add: wp-def unfold-correct denotational-is-natural[symmetric])

```

```

lemma wp-test :  $\forall \sigma. P \sigma \longrightarrow \text{wp } (\text{unfold}(k,c)) Q \sigma \implies \vdash \{P\} c \{Q\}$ 
apply (rule Hoare.strengthen-pre)
apply (simp add: wp-unfold[symmetric])
apply (rule wp-is-pre)
done

```

9.2 Symbolic Evaluation Rule-Set

lemma *If-split*:

$$\begin{aligned} & \llbracket b \ s \implies \langle c0, s \rangle \longrightarrow_c s' ; \\ & \quad \neg b \ s \implies \langle c1, s \rangle \longrightarrow_c s' \rrbracket \\ & \implies \langle \text{IF } b \ \text{THEN } c0 \ \text{ELSE } c1, s \rangle \longrightarrow_c s' \\ & \text{by } (\text{cases } b \ s, \text{simp-all}) \end{aligned}$$

lemma *If-splitE*:

$$\begin{aligned} & \llbracket \langle \text{IF } b \ \text{THEN } c \ \text{ELSE } d, s \rangle \longrightarrow_c s' ; \\ & \quad \llbracket b \ s ; \langle c, s \rangle \longrightarrow_c s' \rrbracket \implies P ; \\ & \quad \llbracket \neg b \ s ; \langle d, s \rangle \longrightarrow_c s' \rrbracket \implies P \rrbracket \implies P \end{aligned}$$

by(cases *b s*, simp-all)

9.3 Splitting Rule for program-based Tests

lemma *symbolic-eval-test* :

$$\begin{aligned} & (\mid - \{Pre\} \ c \ \{Post\}) = \\ & (\forall s \ t. \ \langle \text{unfold } (n, c), s \rangle \longrightarrow_c t \longrightarrow Pre \ s \longrightarrow Post \ t) \end{aligned}$$

proof –

have *hoare-sound-complete* : ($\mid - \{Pre\} \ c \ \{Post\}$) = ($\mid = \{Pre\} \ c \ \{Post\}$)

by(auto intro!: *hoare-sound hoare-relative-complete*)

show *?thesis*

by(simp only: *hoare-sound-complete hoare-valid-def*
denotational-is-natural[symmetric] unfold-correct)

qed

9.4 Tactic Set-up

ML⟨⟨

fun *contains-eval* *n thm* =
 let *fun* *T*(*Natural.eval* *c*, -) = *true* | *T* - = *false*
 in *Term.exists-Const* *T* (*term-of*(*cprem-of* *thm* *n*)) *end*

⟩⟩

ML⟨⟨

local open *TestGen* *in*

fun *thyp-ify-partial-evaluations* *ctxt* =
 (*COND'* *contains-eval* (*thyp-ify* *ctxt*) (*K all-tac*))

end

⟩⟩

lemmas *one-point-rules* = *HOL.simp-thms*(39) *HOL.simp-thms*(40)

lemma *IF-split*:

$\langle IF\ b\ THEN\ c\ ELSE\ d, s \rangle \longrightarrow_c s' =$
 $((b\ s \wedge \langle c, s \rangle \longrightarrow_c s') \vee (\neg b\ s \wedge \langle d, s \rangle \longrightarrow_c s'))$
by(*cases* *b s*, *auto*)

lemma *assign-sequence*:

$\langle a ::= e; c, s \rangle \longrightarrow_c s' = \langle c, s[a \mapsto e\ s] \rangle \longrightarrow_c s'$
by(*simp only*:*Natural.semi* *Natural.assign* *one-point-rules*)

lemmas *symbolic-evaluation* = *IF-split*

Natural.skip *Natural.assign*
Natural.semi *Natural.whileFalse*

thm *symbolic-evaluation*

lemmas *symbolic-evaluation2* = *IF-split* *assign-sequence*

Natural.skip *Natural.assign*
Natural.whileFalse

lemmas *memory-model* = *Fun.fun-upd-other* *HOL.simp-thms*(8)

Fun.fun-upd-same *Fun.fun-upd-triv*

ML⟨

local open *TestGen* *HOLogic* *in*

fun *generate-program-splitter* *ctxt* *simps* *depth* *no* *thm* =

let val *thy* = *theory-of-thm* *thm*

val @{*term* *Hoare.hoare*} \$ *PRE* \$ *PROG* \$ - = *dest-Trueprop*(*term-of*(*cprem-of*
thm 1));

val *S* = (*Thm.trivial* (*cterm-of* *thy* (*mk-Trueprop*
 (@{*term* *Hoare.hoare*} \$ *PRE* \$ *PROG* \$
Free(*POSTCONDITION*,
 @{|*typ* (*Com.loc* \Rightarrow *nat*) \Rightarrow *bool*}))))))

val *S* = *S* |\$> (*res-inst-tac* *ctxt* (* [|(*n1*, *Int.toString* *depth*)] *)
 [|((*n*, 1), *Int.toString* *depth*)]
 (@{|*thm* *symbolic-eval-test*} *RS iffD2*) *no*)

|\$> (*safe-tac* *ctxt*)

|\$> (*asm-full-simp-tac*((*global-simpset-of* *thy*)

addsimps

(@{|*thms* *Append.simps*} @

```

      @{thms unfold.simps} @
      [@{thm uf-while}])) 1)
|> (asm-full-simp-tac( HOL-ss
      addsimps
      (@{thms symbolic-evaluation2} @
      @{thms memory-model} @ simps @
      [@{thm update-def}])) 1)
|> (safe-tac ctxt)
|> (ALLGOALS(COND' contains-eval (thyp-ify ctxt)
(K all-tac)))

  in thm |> (rtac (Drule.export-without-context S) 1)
end

end (* local *)

>>

end

```

10 Program-based Testing: The Squareroot-Example.

```

theory
  squareroot-test
imports
  ../../src/program-based-testing
begin

```

10.1 The Definition of the Integer-Squareroot Program

```

definition squareroot :: [loc,loc,loc,loc]  $\Rightarrow$  com
where      squareroot tm sqsum i a ==
              (( tm      := ( $\lambda$ s. 1));
              (( sqsum    := ( $\lambda$ s. 1));
              (( i        := ( $\lambda$ s. 0));
              WHILE ( $\lambda$ s. (s sqsum) <= (s a)) DO
                (( i      := ( $\lambda$ s. (s i) + 1));
                (( tm      := ( $\lambda$ s. (s tm) + 2));
                (sqsum := ( $\lambda$ s. (s tm) + (s
sqsum)))))))))
              )

```

```

definition pre  :: assn where pre  $\equiv$   $\lambda$  x. True

```

definition $post :: [loc, loc] \Rightarrow assn$
where $post\ a\ i \equiv \lambda\ s. (s\ i) * (s\ i) \leq (s\ a) \wedge s\ a < (s\ i + 1) * (s\ i + 1)$

definition $inv :: [loc, loc, loc, loc] \Rightarrow assn$
where $inv\ i\ sqsum\ tm\ a \equiv \lambda\ s. (s\ i + 1) * (s\ i + 1) = s\ sqsum$
 $\wedge s\ tm = (2 * (s\ i) + 1)$
 $\wedge (s\ i) * (s\ i) \leq (s\ a)$

10.2 Computing Program Paths and their Path-Constraints

lemma *derive-pathconds*:

assumes $no_alias : sqsum \neq i \wedge i \neq sqsum \wedge tm \neq sqsum \wedge$
 $sqsum \neq tm \wedge sqsum \neq a \wedge a \neq sqsum \wedge$
 $tm \neq i \wedge i \neq tm \wedge tm \neq a \wedge a \neq tm \wedge$
 $a \neq i \wedge i \neq a$
shows $\langle unfold(\mathcal{B}, squareroot\ tm\ sqsum\ i\ a), s \rangle \longrightarrow_c s'$

apply(*simp add: squareroot-def uf-while*)
apply(*rule If-split, simp-all add: update-def no-alias*)+

The resulting proof state capturing the test hypothesis as well as the resulting 4 evaluation paths (no entry into loop, 1 pass, 2 passes and 3 passes through the loop) looks as follows:

1. $Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0))))))) \leq s\ a \implies$
 $\langle WHILE\ \lambda s. s\ sqsum$
 $\leq s\ a\ DO\ i := \lambda s. Suc\ (s\ i) ; (tm := \lambda s.$
 $Suc\ (Suc\ (s\ tm)) ; sqsum := \lambda s. s\ tm + s\ sqsum \rangle, s$
 $(i := Suc\ (Suc\ (Suc\ 0)),$
 $tm := Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0)))))),$
 $sqsum :=$
 $Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0))))))))))))))$
 $\longrightarrow_c s'$
2. $\llbracket Suc\ (Suc\ (Suc\ (Suc\ 0))) \leq s\ a ;$
 $\neg Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0))))))) \leq s\ a \rrbracket$
 $\implies s' = s$
 $(i := Suc\ (Suc\ 0), tm := Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0))))),$
 $sqsum := Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ (Suc\ 0))))))))))$
3. $\llbracket Suc\ 0 \leq s\ a ; \neg Suc\ (Suc\ (Suc\ (Suc\ 0))) \leq s\ a \rrbracket$
 $\implies s' = s$
 $(i := Suc\ 0, tm := Suc\ (Suc\ (Suc\ 0)),$
 $sqsum := Suc\ (Suc\ (Suc\ (Suc\ 0)))$
4. $\neg Suc\ 0 \leq s\ a \implies s' = s(tm := Suc\ 0, sqsum := Suc\ 0, i := 0)$

oops

Summary: With this approach, one can synthesize paths and their conditions.

10.3 Testing Specifications

thm *symbolic-evaluation2*

Slow Motion Interactive Version (for demonstrations).

lemma *whitebox-test*:

assumes *no-alias[simp]* : $sqsum \neq i \wedge i \neq sqsum \wedge tm \neq sqsum \wedge$

$$\begin{aligned} &sqsum \neq tm \wedge sqsum \neq a \wedge a \neq sqsum \wedge \\ &tm \neq i \wedge i \neq tm \wedge tm \neq a \wedge a \neq tm \wedge \\ &a \neq i \wedge i \neq a \end{aligned}$$

shows $|- \{pre\} \text{ squareroot } tm \text{ sqsum } i \text{ a } \{post \text{ a } i\}$

apply(*simp add: squareroot-def pre-def*)

apply(*rule-tac n1 = 3 in iffD2[OF symbolic-eval-test]*)

apply(*safe, simp add: Append.simps unfold.simps uf-while*)

apply(*simp only: symbolic-evaluation2*

memory-model no-alias update-def,

safe)

apply(*tactic ALLGOALS (TestGen.COND' contains-eval*

(TestGen.thyp-ify @ {context}))

(K all-tac)))

apply(*simp-all*)

sorry

Automated Version:

lemma *whitebox-test2*:

assumes *no-alias[simp]* : $sqsum \neq i \wedge i \neq sqsum \wedge tm \neq sqsum \wedge$

$$\begin{aligned} &sqsum \neq tm \wedge sqsum \neq a \wedge a \neq sqsum \wedge \\ &tm \neq i \wedge i \neq tm \wedge tm \neq a \wedge a \neq tm \wedge \\ &a \neq i \wedge i \neq a \end{aligned}$$

shows $|- \{pre\} \text{ squareroot } tm \text{ sqsum } i \text{ a } \{post \text{ a } i\}$

apply(*simp add: squareroot-def pre-def*)

apply(*tactic generate-program-splitter @ {context} (@ {thms no-alias})*

3 1)

apply(*simp-all*)

The resulting proof state captures the essence of this white box test:

1. *THYP*

$$\begin{aligned}
& (\forall x \, xa \, xb \, xc \, xd \, xe \, xf. \\
& \quad \langle \text{WHILE } \lambda s. s \, x \\
& \quad \quad \leq s \, xa \, \text{DO } xb := \lambda s. \text{Suc } (s \\
& \quad \quad \quad xb) ; (xc := \lambda s. \text{Suc } (\text{Suc } (s \, xc)) ; x := \lambda s. s \, xc + s \, x), xf \\
& \quad \quad (xb := \text{Suc } (\text{Suc } (\text{Suc } 0)), \\
& \quad \quad \quad xc := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0))))))), \\
& \quad \quad \quad x := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \\
& \quad \quad \quad (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \\
& \quad \quad \quad \longrightarrow_c xe \longrightarrow \\
& \quad \quad \quad \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \leq xf \\
& \quad \quad xa \longrightarrow \\
& \quad \quad \quad xd \, xe) \\
& \quad 2. \bigwedge s. [\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0))) \leq s \, a; \\
& \quad \quad \quad \neg \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \leq \\
& \quad \quad \quad s \, a] \\
& \quad \quad \quad \implies \text{post } a \, i \\
& \quad \quad \quad (s(i := \text{Suc } (\text{Suc } 0), \, tm := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } \\
& \quad \quad \quad 0))))), \\
& \quad \quad \quad sqsum := \\
& \quad \quad \quad \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \\
& \quad 3. \bigwedge s. [\text{Suc } 0 \leq s \, a; \neg \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0))) \leq s \, a] \\
& \quad \quad \quad \implies \text{post } a \, i \\
& \quad \quad \quad (s(i := \text{Suc } 0, \, tm := \text{Suc } (\text{Suc } (\text{Suc } 0)), \\
& \quad \quad \quad sqsum := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))) \\
& \quad 4. \bigwedge s. \neg \text{Suc } 0 \leq s \, a \implies \text{post } a \, i \, (s(tm := \text{Suc } 0, \, sqsum := \text{Suc } 0, \\
& \quad \quad i := 0))
\end{aligned}$$

Now testing all paths for compliance to post condition:

apply(simp-all add: no-alias post-def)

In this special case—arithmetic constraints—the system can even **verify** these constraints, i.e. the simplifier shows that all postconditions follow from the initial constraints and the computed relation between pre-state and post state.

1. THYP

$$\begin{aligned}
& (\forall x \, xa \, xb \, xc \, xd \, xe \, xf. \\
& \quad \langle \text{WHILE } \lambda s. s \, x \\
& \quad \quad \leq s \, xa \, \text{DO } xb := \lambda s. \text{Suc } (s \\
& \quad \quad \quad xb) ; (xc := \lambda s. \text{Suc } (\text{Suc } (s \, xc)) ; x := \lambda s. s \, xc + s \, x), xf \\
& \quad \quad (xb := \text{Suc } (\text{Suc } (\text{Suc } 0)), \\
& \quad \quad \quad xc := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0))))))), \\
& \quad \quad \quad x := \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \\
& \quad \quad \quad (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \\
& \quad \quad \quad \longrightarrow_c xe \longrightarrow \\
& \quad \quad \quad \text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } (\text{Suc } 0)))))))))) \leq xf \\
& \quad \quad xa \longrightarrow \\
& \quad \quad \quad xd \, xe)
\end{aligned}$$

To say it loud and clearly: The white box test decomposes the original

specification into a test hypothesis for cases with $3^3 = 9 \leq sa$ and all other cases (e.g. $2^2 = 4 \leq sa \wedge sa < 9$). The latter have been proven automatically.

oops

10.4 An Alternative Approach with an On-The-Fly generated Explicit Test-Hyp.

Recall the rules for the computation of weakest preconditions:

Hoare.wp_def: $wp \ ?c \ ?Q == \%s. \text{ALL } t. (s, t) : C \ ?c \ \rightarrow \ ?Q \ t$

Hoare.wp_If: $wp \ (IF \ ?b \ THEN \ ?c \ ELSE \ ?d) \ ?Q = (\%s. (\?b \ s \ \rightarrow \ wp \ ?c \ ?Q \ s) \ \& \ (\sim \ ?b \ s \ \rightarrow \ wp \ ?d \ ?Q \ s))$

Hoare.wp_Semi: $wp \ (?c; \ ?d) \ ?Q = wp \ ?c \ (wp \ ?d \ ?Q)$

Hoare.wp_Ass: $wp \ (?x \ ::= \ ?a) \ ?Q = (\%s. \ ?Q \ (s[?x \ ::= \ ?a \ s]))$

Hoare.wp_SKIP: $wp \ SKIP \ ?Q = ?Q$

lemma *path-exploration-test*:

assumes *no-alias* : $sqsum \neq i \wedge i \neq sqsum \wedge tm \neq sqsum \wedge$
 $sqsum \neq tm \wedge sqsum \neq a \wedge a \neq sqsum \wedge$
 $tm \neq i \wedge i \neq tm \wedge tm \neq a \wedge a \neq tm \wedge$
 $a \neq i \wedge i \neq a$
shows $\vdash \{pre\} \text{ squareroot } tm \ sqsum \ i \ a \ \{post \ a \ i\}$

We fire the basic white-box scenario:

apply (*rule wp-test* [*of* - 3])

Given the concrete unfolding factor and the concrete program term, standard normalization yields an "Path Exhaustion Theorem" with the explicit test hypothesis:

apply(*auto simp: squareroot-def update-def no-alias uf-while*)
apply(*tactic ALLGOALS (TestGen.COND' contains-eval*
 $(TestGen.thyp-ify \ @\{context\})$
 $(K \ all-tac)))$

and we reach the following instantiation of a white-box test-theorem (with explicit test-hypothesis for the uncovered paths):

$1. \bigwedge \sigma. \llbracket pre \ \sigma; \text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0)))))) \leq \sigma \ a \rrbracket$
 $\implies wp \ (WHILE \ \lambda s. \ s \ sqsum$
 $\leq \ s \ a \ DO \ i \ ::= \ \lambda s.$
 $\text{Suc} \ (s \ i) ; (tm \ ::= \ \lambda s. \ \text{Suc} \ (\text{Suc} \ (s \ tm)) ; sqsum \ ::= \ \lambda s. \ s \ tm + s$
 $sqsum \))$
 $(post \ a \ i)$
 $(\sigma(i \ := \ \text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0)),$
 $tm \ := \ \text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0))))),$
 $sqsum \ :=$

$$\begin{aligned}
& \text{Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0))))))))))))) \\
2. \bigwedge \sigma. \llbracket \text{pre } \sigma; \text{Suc (Suc (Suc (Suc 0)))} \leq \sigma \text{ a}; \\
& \quad \neg \text{Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))} \leq \\
& \sigma \text{ a} \rrbracket \\
& \implies \text{post a i} \\
& \quad (\sigma(i := \text{Suc (Suc 0)}, \text{tm} := \text{Suc (Suc (Suc (Suc (Suc 0)))))}, \\
& \text{sqsum} := \\
& \quad \text{Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc (Suc 0)))))))))) \\
3. \bigwedge \sigma. \llbracket \text{pre } \sigma; \text{Suc 0} \leq \sigma \text{ a}; \neg \text{Suc (Suc (Suc (Suc 0)))} \leq \sigma \text{ a} \rrbracket \\
& \implies \text{post a i} \\
& \quad (\sigma(i := \text{Suc 0}, \text{tm} := \text{Suc (Suc (Suc 0))}, \\
& \quad \text{sqsum} := \text{Suc (Suc (Suc (Suc 0))))) \\
4. \bigwedge \sigma. \llbracket \text{pre } \sigma; \neg \text{Suc 0} \leq \sigma \text{ a} \rrbracket \\
& \implies \text{post a i } (\sigma(\text{tm} := \text{Suc 0}, \text{sqsum} := \text{Suc 0}, i := 0))
\end{aligned}$$

Now we allso perform the "tests" by symbolic execution:

```
apply(auto simp: no-alias pre-def post-def)
```

which leaves us just with test-hypothesis case; for all paths *not* leading to a remaining while, the program is correct.

$$\begin{aligned}
1. \bigwedge \sigma. \text{Suc} (\text{Suc} (\text{Suc} (\text{Suc} (\text{Suc} (\text{Suc} (\text{Suc} (\text{Suc} 0)))))) &\leq \sigma \\
a \implies & \\
wp \ (\text{WHILE} \ \lambda s. \ s \ sqsum & \\
\leq s \ a \ \text{DO} \ i \ := \ \lambda s. \ \text{Suc} \ (s & \\
i) \ ; \ (tm \ := \ \lambda s. \ \text{Suc} \ (\text{Suc} \ (s \ tm)) \ ; \ sqsum \ := & \ \lambda s. \ s \ tm + s \ sqsum \\
)) & \\
(\lambda s. \ s \ i * s \ i \leq s \ a \wedge s \ a < \text{Suc} \ (s \ i + (s \ i + s \ i * s \ i))) & \\
(\sigma(i \ := \ \text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0)), & \\
tm \ := \ \text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0)))))) & \\
sqsum \ := & \\
\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} & \\
(\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ (\text{Suc} \ 0)))))) & \\
)))))) &
\end{aligned}$$

oops

end